

Meteor UK Protected Equity Fund Transfer Application Form



You need to use a separate application form for each ISA you want to transfer.
You may photocopy this form for your husband, wife, partner, friend to use, or for further ISA transfers.

1 Your Details

Title: (Mr, Mrs, Miss, Ms) _____

Surname: _____

Full first names: _____

Date of birth: _____

Permanent home address: _____

Postcode: _____

Country: _____

Phone number: _____

E-mail address: _____

2 National Insurance Number

Do you have a National Insurance (NI) number?: Yes No

If 'Yes', you must write it here. You should be able to find your NI number on a payslip, form P45 or P60, letter from the Inland Revenue, letter from the Benefits Agency, or pension order book.

3 Withdrawal Option

Please complete the details below if you have chosen a withdrawal option and ensure that they are correct and relevant to the investment being applied for:

Payments can only be made via the BACS system.

Frequency: Monthly Annually Percentage of fund value/original investment (delete as appropriate) Month and year of commencement

Bank/Building Society _____

Account name: _____

Address: _____

Account no:

Sort code: - -

Postcode: _____

Building Society Ref. or Roll no:

For Financial Adviser's use only

Financial adviser: _____

Prevention of money laundering

I can confirm that I have carried out the appropriate identity checks signed copies of the documentary evidence with this certificate. I have seen the original documents and any that need a signature were already signed.

FSA number: _____

Signed on behalf of the above: _____

Name of adviser: _____

Existing ISA transfer request

Title: (Mr, Mrs, Miss, Ms) _____

ISA number: _____

Surname: _____

Type of account: Stocks and Shares Cash

Full first names: _____

Name of investment: _____

Date of birth: _____

Any special instructions e.g. if only a partial transfer is required.

Permanent home address: _____

Postcode: _____

4 Have you received financial advice?

We recommend that all customers seek financial advice to help establish whether this investment fits with their circumstances and financial objectives.

Have you received financial advice relating to this investment?

Yes. I/We have received advice from _____ (name of firm)

No. I/We haven't received financial advice.

If you have received advice, please proceed to Section 5.

If you have not taken financial advice please answer the following questions so that we can assess whether the Fund is appropriate for you.

1. Please indicate if you have ever held

Any investment where the capital and investment returns are variable and are based on the performance the underlying securities, such as equities, commodities, indices, corporate bonds or life policies

An OEIC or unit trust

2. Do you understand that that the amount of any investment return and the return of capital will depend on the performance of your investment and you may get back less than your original investment? Yes No

3. Do you understand that the investment is designed to be held for the medium to long term and if you were to cash in the early months the amount you receive would depend on the value of the investment at the date of sale and that this value is likely to be less than the amount you invested? Yes No

4. Do you understand that if withdrawals are taken at a higher rate than the fund has grown capital may be eroded? Yes No

5. Have you re-read the 'Is the product right for me' page of the brochure to make sure that you are happy that all of the 'yes' statements relating to the nature of the investment are appropriate to you? Yes No

Please indicate below if you have received financial advice relating to the investment.

I have received financial advice from an independent Financial Adviser.

Name of firm: _____

5 Declaration and authority

- I instruct the ISA Manager to sell my existing ISA assets in accordance with the ISA transfer request forms, and transfer the amounts realised to Meteor Capital Holdings Limited to invest in the UK Protected Equity Fund.
- I authorise the ISA Manager to hold my cash subscriptions, Fund investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief from tax in respect of Fund investments, and on my written request to transfer or pay to me, as the case may be, Fund investments, interest, dividend rights or other proceeds in respect of such investments or any cash.
- I declare that the information given in this declaration is true and correct to the best of my knowledge and belief and that I will inform the ISA Manager without delay of any change in my circumstances affecting any of the information in this form.
- I declare that I have read and understood the brochure and Terms and Conditions and I agree to the Terms and Conditions under which my investment will be managed.

I understand that Meteor Capital Holdings Limited does not provide investment advice and confirm that I either do not require such advice or have received advice on this investment from an independent Financial Adviser as shown above.

Signature: _____

Date: _____

Note: When you have filled in and signed this application form, please return it to your Financial Adviser or to **Meteor Capital Holdings Limited, 68 Lombard Street, London EC3V 9LJ.**

Name of existing ISA Manager: _____

Address: _____

Tel: _____

I instruct the ISA Manager shown opposite to:

1. give any information needed to Meteor Capital Holdings Ltd.

2. sell the ISA assets detailed overleaf and to send the proceeds in cash together with any interest, dividends, rights and any cash within the account, less any amount you are entitled to keep to **Meteor Capital Holdings Limited, 68 Lombard Street, London, EC3V 9LJ.**

3. Ensure that all dividends, interest & tax credits arising after the transfer are made payable to me.

4. Advise Meteor if you are not able to send the cash proceeds within 21 days of receipt of this request.

Signature _____ Date _____