

Trustee Investment Application for Pension Schemes



PLEASE COMPLETE THIS FORM USING BLOCK CAPITALS IN BLUE OR BLACK INK

1 Scheme Details

Proposers: _____
 (The Trustee(s))
 Scheme Name: _____
 Scheme Reference No: _____
 Name of administrators: _____
 Address for correspondence: _____

 Postcode: _____
 Contact tel no: _____
 Email: _____

Type of pension scheme: *(please tick one box below)*
 An occupational scheme which is not a small-administered scheme:
 A small self-administered scheme (SSAS):
 A self-invested personal pension scheme (SIPP):
 HMRC scheme reference number: _____
 Please add any other relevant information below:

2 Investment Details

Please indicate the investment(s) required and the amount you wish to invest. Please ensure the exact investment name and any investment option is entered below.

	Option (e.g. growth, monthly, annually)	Amount to be invested
UK Protected Equity Fund		£/€
		£/€
Total investment		£/€

Cheques should be made payable to Meteor Capital Holdings Limited. If you send money by telegraphic transfer, the details you require are: Meteor Capital Holdings Limited, HSBC Bank plc, P.O. Box 105, 33 Park Row, Leeds LS1 1LD. Sort code: 40-27-15. Account number: 93666182.

3 Withdrawal Option

Please complete the details below if you have chosen a withdrawal option and ensure that they are correct and relevant to the investment being applied for:

Payments can only be made via the BACS system.

Frequency: Monthly Annually Percentage of fund value/original investment (delete as appropriate) Month and year of commencement

Bank/Building Society _____ Account name: _____
 Address: _____ Account no:
 _____ Sort code: - -
 _____ Building Society Ref. or Roll no:
 Postcode: _____

4 Have you received financial advice?

We recommend that all customers seek financial advice to help establish whether this investment fits with their circumstances and financial objectives.

Have you received financial advice relating to this investment?

Yes, I/We have received advice from _____ (name of firm)

No, I/We haven't received financial advice.

If you have received advice, please proceed to Section 5.

If you have not taken financial advice please answer the following questions so that we can assess whether the Fund is appropriate for you.

1. Please indicate if you have ever held

Any investment where the capital and investment returns are variable and are based on the performance the underlying securities, such as equities, commodities, indices, corporate bonds or life policies

An OEIC or unit trust

2. Do you understand that that the amount of any investment return and the return of capital will depend on the performance of your investment and you may get back less than your original investment?

Yes No

Please continue overleaf...

Have you received financial advice? continued

3. Do you understand that the investment is designed to be held for the medium to long term and if you were to cash in the early months the amount you receive would depend on the value of the investment at the date of sale and that this value is likely to be less than the amount you invested? Yes No
4. Do you understand that if withdrawals are taken at a higher rate than the fund has grown capital may be eroded? Yes No
5. Have you re-read the 'Is the product right for me' page of the brochure to make sure that you are happy that all of the 'yes' statements relating to the nature of the investment are appropriate to you? Yes No

5 Provision of Information

For security purposes, please provide us with a password so we can give you information over the phone or give you access to our web-based services: _____

Please indicate if you would like to receive regular valuation statements by email: Monthly Half Yearly Annually

If so, would you also like to receive printed annual statements: Yes No

Statements will be sent to the email address shown above.

If you need a further copy please provide an additional email address: _____

6 Authorised Signatures

The exercise of any options under the Terms and Conditions of the Fund must be authorised by the requisite number of authorised signatories or, where a number is not stipulated, by at least one authorised signature. Please provide the names and sample signatures of all those who will be Authorised Signatories. If you require more than five, please continue on a separate sheet of paper. Where there is any change to the Authorised Signatories, please notify Meteor Capital Holdings Limited in writing giving the date of the change (Meteor Capital Holdings Limited will be entitled to rely on the previous list until it is informed to the contrary).

Signed: _____ Name: _____ Date: _____

Signed: _____ Name: _____ Date: _____

Signed: _____ Name: _____ Date: _____

Signed: _____ Name: _____ Date: _____

Signed: _____ Name: _____ Date: _____

7 Declaration

1. I/we apply as Trustees/Authorised Parties for the investment(s) indicated in Part 2 above to be issued on Meteor Capital Holdings Limited standard Terms and Conditions or special Terms and Conditions appropriate to my/our investment. If any amendment to the standard Terms and Conditions shall apply to my/our investment, Meteor Capital Holdings Limited will notify me/us of any such Terms and Conditions before proceeding with the acceptance of the application.
2. I/we confirm that
- a) my/our powers of investment, and delegation of those powers, permit me/us to invest the assets of the Scheme, or part of them not less than the part which I/we propose to invest, in the investment(s) now applied for.
- b) the investing scheme is a registered pension scheme under Part 4 of the Finance Act 2004 (or an application for its registration has been made) and I/we undertake to advise Meteor Capital Holdings Limited immediately if it ceases to be a registered pension scheme or its application for registration is withdrawn or refused.
3. I/we authorise the Board of the Inland Revenue to tell Meteor Capital Holdings Limited if the Scheme is not granted exempt approval or if that approval is withdrawn.
4. I/we understand and agree that any investments in the Fund will be allocated in accordance with my/our instructions to Meteor Capital Holdings Limited (which includes any set out in the 'Investment Details' section of this application).
5. This application and the Terms and Conditions referred to in 7.1 above shall form the basis of the contract between me/us and Meteor Capital Holdings Limited. I/we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.
6. I/we confirm that the information given in the application, whether in handwriting or not, is true and complete.

I/we have read and understood the relevant Product Literature and Terms & Conditions and accept the terms under which my/our investment(s) will be managed.

I/we declare that this application form has been completed to the best of my/our knowledge and belief.

I/we understand that Meteor Capital Holdings Limited does not provide investment advice and confirm that I/we either do not require such advice or have received advice on this investment from an independent Financial Adviser.

For and on behalf of the Trustees of the Scheme:

Signed: _____

Name: _____

Signed: _____

Name: _____

Date: _____

For Financial Adviser's use only

Financial adviser: _____

Signed on behalf of the above: _____

Prevention of money laundering

I/We confirm that I/we have completed the appropriate verification of identity checks and attach the Verification of Identity Certificate*. Certified copies of the underlying documentary evidence are enclosed with this certificate. I/We have sighted the original documents and that any requiring a signature were pre-signed.

FSA number: _____

* It is necessary to verify the identity of the provider of funds, i.e. the settler, those who have control over the funds, i.e. the Trustees and any controllers who have the power to remove the trustees. For discretionary and offshore trusts, the nature and purpose of the trust and original source of funding should be ascertained.

Note: When this application form is completed and signed, please return it to your Financial Adviser or to:

Meteor Capital Holdings Limited, 68 Lombard Street, London EC3V 9LJ.

Meteor Capital Holdings Limited, 68 Lombard Street, London EC3V 9LJ Tel: 0845 0091805 Fax: 0845 0091815 Web: www.meteoram.com

Authorised and regulated by the Financial Services Authority. FSA No: 459325.