

UK Protected Equity Fund Application Form

For extra applications (for example, for your husband, wife, partner or friend), simply photocopy this form or download a copy from our website - www.meteoram.com



1 Your Details

Title: (Mr, Mrs, Miss, Ms) _____

Surname: _____

Full first names: _____ Date of birth: _____

Permanent home address: _____

Postcode: _____ Country: _____

Phone number: _____

E-mail address: _____

For joint applications in **direct investments only**, please fill in the details of the joint application here.

Title: (Mr, Mrs, Miss, Ms) _____

Surname: _____

Full first names: _____ Date of birth: _____

For **direct investments only**, on behalf of a child (not aged 18 or over), please fill in the child's name here.

Full name: _____ Date of birth: _____

2 National Insurance Number

Do you have a National Insurance (NI) number?: Yes No

If 'Yes', please write it here. You should be able to find your NI number on a payslip, form P45 or P60, letter from the Inland Revenue, letter from the Benefits Agency, or pension order book.

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3 Country of residence for tax purposes

Country: _____

4 Investment

Direct Investment £ _____ € _____
(minimum £10,000)

ISAs: £ _____ Tax year: _____ £ _____ Tax year: _____

Total Investment: £ _____ € _____

Please make your cheque payable to **Meteor Capital Holdings Limited Client Account**. If you are sending us a building society cheque, it should be payable to **Meteor Capital Holdings Limited Client Account (ref: your name)**.

Regular Withdrawals - If you would like a regular withdrawal please select as follows: (maximum 8%)
Payments will be made on the 6th of the month. Annual Monthly Month & year of commencement % amount invested/
current value

Once you have chosen a withdrawal option, please complete the details below and ensure that they are correct: *(delete as appropriate)*

Bank/Building Society: _____ Account name: _____

Address: _____ Account no:

Sort code: - -

Building Society Ref. or Roll No: _____

Postcode: _____

5 Keeping you informed

For security purposes, please provide us with a password so we can give you information over the phone or give you access to our web-based services: _____

Please indicate if you would like to receive regular valuation statements by email: Monthly Half yearly Annually

If so, would you also like to receive printed half yearly statements: Yes No

Statements will be sent to the email address shown above.

If you need a further copy please provide the additional email address: _____

6 Have you received financial advice?

We recommend that all customers seek financial advice to help establish whether this investment fits with their circumstances and financial objectives.

Have you received financial advice relating to this investment?

Yes. I/We have received advice from _____ (name of firm)

No. I/We haven't received financial advice.

If you have received advice, please proceed to Section 7.

If you have not taken financial advice please answer the following questions so that we can assess whether the Fund is appropriate for you.

1. Please indicate if you have ever held

Any investment where the capital and investment returns are variable and are based on the performance the underlying securities, such as equities, commodities, indices, corporate bonds or life policies

An OEIC or unit trust

2. Do you understand that that the amount of any investment return and the return of capital will depend on the performance of your investment and you may get back less than your original investment? Yes No

3. Do you understand that the investment is designed to be held for the medium to long term and if you were to cash in the early months the amount you receive would depend on the value of the investment at the date of sale and that this value is likely to be less than the amount you invested? Yes No

4. Do you understand that if withdrawals are taken at a higher rate than the fund has grown capital may be eroded? Yes No

5. Have you re-read the 'Is the product right for me' page of the brochure to make sure that you are happy that all of the 'yes' statements relating to the nature of the investment are appropriate to you? Yes No

7 Declaration and authority

Applicable to Direct Investment Applicants only: I declare I am 18 years of age or over and that I am not, or acting on the behalf of, a resident of the United States; and that I will not assist any person who is so resident to acquire securities in the Fund. Further I agree to inform you immediately should I become a resident of the United States. I confirm adherence to the requirements contained in the Terms and Conditions.

Applicable to all ISA Applicants: I declare that: 1. All subscriptions made, and to be made, belong to me; 2. I am 18 years of age or older; 3. I have not subscribed and will not subscribe more than £7,200 in total to a cash ISA and a stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA 4. I have not subscribed and will not subscribe to another ISA in the same tax year that I subscribe for this stocks and shares ISA; 5. I am resident and ordinarily resident in the united Kingdom for tax purposes or, if not so resident, perform duties which, by virtue of Section 28 of Income Tax(Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or, am married to, or in a civil partnership with, a person who performs such duties, and I will inform Meteor Capital Holdings Limited immediately if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties; 6. I will inform Meteor Capital Holdings Limited without delay of any change in my circumstances affecting any of the information in this form.

For all Applicants: I authorise Meteor Capital Holdings Limited: 1. to hold my cash subscription, Direct investments, ISA investments, interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds; 2. to make on my behalf any claims to relief from tax in respect of ISA investments.

I have read and understood the Meteor UK Protected Equity Fund brochure and Terms & Conditions and accept the terms under which my investments will be managed. I declare that this application form has been completed to the best of my knowledge and belief. I understand that Meteor Capital Holdings Limited does not provide investment advice and confirm that I either do not require such advice or have received advice on this investment from an independent Financial Adviser as shown above.

Signature: _____ 2nd signature: _____

Date: _____ Date: _____

Note: When you have filled and signed this application form, please return it to your Financial Adviser or to **Meteor Capital Holdings Limited, 68 Lombard Street, London EC3V 9LJ.**

For Financial Adviser's use only

Financial Adviser: _____

FSA number: _____

Name of adviser: _____

Prevention of money laundering

I can confirm that I have carried out the appropriate identity checks and attached the 'Verification of Identity Certificate'. I have enclosed signed copies of the documentary evidence with this certificate. I have seen the original documents and any that need a signature were already signed.

Signed on behalf of the above: _____